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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/809,698	03/15/2001	William Randolph	102.173.130	3570

7590                    07/03/2003

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EXAMINER

LEYSON, JOSEPH S

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ART UNIT                  PAPER NUMBER

1722

DATE MAILED: 07/03/2003

10

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/809,698	RANDOLPH ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Joseph Leyson	1722	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 23 May 2003.
- 2a) This action is FINAL.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-28 is/are pending in the application.
- 4a) Of the above claim(s) 11-28 is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1,2 and 4-10 is/are rejected.
- 7) Claim(s) 3 is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) The proposed drawing correction filed on \_\_\_\_\_ is: a) approved b) disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) The oath or declaration is objected to by the Examiner.

#### Priority under 35 U.S.C. §§ 119 and 120

- 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All    b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
a) The translation of the foreign language provisional application has been received.
- 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

#### Attachment(s)

- |  |  |
|--|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                      | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____ . |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                             | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)  |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) <u>5,6,9</u> . | 6) <input type="checkbox"/> Other: _____ .                                   |

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1. Applicant's election of Group I, apparatus claims 1-18, in Paper No. 8 filed on 23 May 2003 is acknowledged. However, a further species restriction of the apparatus is required.
2. Group I, apparatus claims 1-18, of this application contains claims directed to the following patentably distinct species of the claimed invention:

Species A, drawn to figs. 2-4 and 6 and claims 1-10; and Species B, drawn to fig. 7 and to claims 11-18.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, no claim is generic.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37

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CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

3. During a telephone conversation with Michael Diener on 26 June 2003 a provisional election was made with traverse to maintain the Group I election to the apparatus and to further elect Species A, claims 1-10, of Group I for prosecution. Affirmation of this election must be made by applicant in replying to this Office action. Claims 11-28 are withdrawn from further consideration by the examiner, 37 CFR 1.142(b), as being drawn to a non-elected invention or species.

4. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim

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remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

5. The title should be amended in view of the election, i.e., apparatus only.

6. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

7. Claims 5 and 6 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 5 recites "can be" which is indefinite as to its metes and bounds.

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

9. Claims 1, 2, 4, 9 and 10 are rejected under 35 U.S.C. 102(b) as being anticipated by Karabedian(-209).

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Karabedian (-209) teach a thickness control system for controlling the thickness of a blown film 16 by providing air to the blown film when in liquid form and provided from an extrusion die 10, 11, 14, 15, the system including an inlet for receiving air (col. 3, line 65, to col. 4, line 16), lips (fig. 1 and 3) for providing air to an external surface of the blown film as the blown film exits the die, the lips arranged to be around the blown film as the blown film exits the die, radially oriented channels (24a, 24b, 24c, ...) for directing air inwardly from the inlet to the lips such that all the air provided into the channel is passed through to the lips, and a plurality of barriers 36-39 movable relative to the channel, with at least one barrier in each of a number of the channels and being movable for altering the flow of air through the radial channel. The system including a sensor for sensing thickness of the blown film after the film solidifies, and a mechanical mover for moving the barriers in response to measurements from the sensor (col. 5, lines 30-34). The barriers are movable manually (col. 5, lines 30-34). The barrier is located and capable of being configured such that a pressure drop within the radially channels across the barrier is at least 50% or at least 100% of the pressure drop across the lips.

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10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

11. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary.

Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

12. Claims 7 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Karabedian(-209).

Karabedian (-209) disclose the thickness control system substantially as claimed as mentioned above, except for the

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system having one and only one set of lips, or for the system having two and only two sets of lips.

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to modify the system of Karabedian(-209) with only one set of lips or with only two sets of lips because Karabedian(-209) discloses that each set of lips defines a separate gas stream directed at the blown film for controlling the thickness thereof (i.e., col. 3, line 60, to col. 4, line 16) and because the exact number of gas streams would have been found due to routine experimentation in finding the operable or optimum number of gas streams to control film thickness as disclosed by Karabedian(-209) for a given set of conditions. It is not inventive to discover the optimum or workable ranges by routine experimentation, In re Aller, 105 USPQ 233.

13. Claim 3 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

14. Claims 5 and 6 would be allowable if rewritten to overcome the rejection(s) under 35 U.S.C. 112, second paragraph, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims.

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15. The following is a statement of reasons for the indication of allowable subject matter: the prior art of record does not teach or suggest the combination of elements defining the system as recited by claim 3, particularly, the barriers being the pistons, and the mover including the servo-controlled motor for moving circumferentially around the blown film, the mover selectively adjusting the pistons; or defining the system as recited by claims 5 and 6, particularly including each of the barriers being threaded and being able to be screwed to allow more or less air flow.

16. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Herrington et al.(-475), Kahlen(-727) and Planeta et al.(-221) are cited as of interest.

17. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph Leyson whose telephone number is (703) 308-2647. The examiner can normally be reached on M-F(8:30-6:00) First Friday Off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wanda Walker can be reached on (703) 308-0457. The fax phone numbers for the organization where this application or proceeding is assigned

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are (703) 872-9310 for regular communications and (703) 872-9311  
for After Final communications.

Any inquiry of a general nature or relating to the status  
of this application or proceeding should be directed to the  
receptionist whose telephone number is (703) 308-0661.

jl

June 27, 2003

*James Mackey*  
**JAMES P. MACKEY**  
**PRIMARY EXAMINER**

*6/27/03*